**PATENT** 

DQCKET NO.: 11158ROUS02C (NORT10-00393)

MADEN Customer No.: 33000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

K. SCOTT RAMEY ET AL.

U.S. Serial No.

10/808,092

Filed

March 24, 2004

For

METHOD, APPARATUS, AND ARTICLE OF MANUFACTURE

FOR WEB-ENABLING TELEPHONY DEVICES

Group No.

2661

Examiner

Not Yet Assigned

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

The undersigned hereby certifies that the following documents:

- 1. Postcard receipt;
- 2. Letter to Official Draftsperson with two redline drawing sheets;
- 3. Information Disclosure Statement; and
- 4. Forms PTO/SB/08 and PTO/SB/08B

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 37, 2004.

Mailer

Robert D. McCutcheon

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OTPE 501

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Art Unit

2661

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MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## **INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Forms PTO/SB/08 and PTO/SB/08B. In accordance with 37 C.F.R. §1.98(d), these references were previously cited by or submitted to, the Office in parent patent application Serial No. 09/414,521 and therefore copies of the cited references are not required.

U.S. Patent No.	<u>Inventor</u>	<u>Date</u>
5,619,555	Fenton et al.	April 8, 1997
5,805,587	Norris et al.	September 8, 1998
5,867,153	Grandcolas et al.	February 2, 1999
5,884,262	Wise et al.	March 16, 1999
5,923,736	Shachar	July 13, 1999
5,923,738	Cardillo, IV et al.	July 13, 1999
5,937,041	Cardillo, IV et al.	August 10, 1999
5,953,392	Rhie et al.	September 14, 1999
5,958,016	Chang et al.	September 28, 1999
5,978,806	Lund	November 02, 1999
6,049,596	Dumaine et al.	April 11, 2000
6,091,808	Wood et al.	July 18, 2000
6,335,928	Herrmann et al.	January 1, 2002
6,185,194	Musk et al.	February 6, 2001
6,192,338	Haszto et al.	February 20, 2001
6,205,582	Hoarty	March 20, 2001
6,243,445	Begeja et al.	June 5, 2001
6,263,051	Saylor et al.	July 17, 2001
6,430,175	Echols et al.	August 6, 2002
6,445,694	Swartz	September 3, 2002
Foreign Patent No.	Country	<u>Date</u>
EP 0836295	Europe	April 15, 1998
WO 98/16051	PCT	April 16, 1998
WO 98/18283	PCT	April 30, 1998
EP 0859500	Europe	August 19, 1998
WO 99/40712	PCT	August 12, 1999

# **Publications**

Low C. et al.: "WebIN - An Architecture for Fast Deployment of In-Based Personal Services", Workshop Record, Intelligent Network, Freedom and Flexibility: Realising the Promise of Intelligent Network Services", 21 April 1996 (1996-04-21), pp. 1-12, XP002043670.

DOCKET NO.: 11158ROUS02C (NORT10-00393)

SERIAL NO.: 10/808,092

**PATENT** 

Sebastiano, Trigila, Vicenzo Bonafede: "Dolmen - Service Machine Development for an Open Long-Term Mobile and Fixed Network Environment (extends TINA Architecture", Internet, 'Online!, 18 February 1999 (1999-02-18), XP002259833, retrieved from the Internet: <URL: <a href="http://www.tinac.com">http://www.tinac.com</a>.

StarVox Solutions: Solutions Overview (visited12/02/99), <a href="https://www.starvox.com/solutions">www.starvox.com/solutions</a>, Pp. 1-5.

StarVox Products: Products Overview (visited12/02/99), <a href="https://www.starvox.com/products">www.starvox.com/products</a>, Pp. 1-5.

Low, C.: "The Internet Telephony Red Herring", HP Laboratories Technical Report, No. 96/98, 15 May 1996 (1996-05-15), pp. 1-15, XP002043669.

Gralla, P., "How Intranets Work", Ziff-Davis Press, pp. 94-99, 1996.

Java Telephony API,: "An Overview", version 1.1, pp. 1-41, January 28, 1997.

Bornhoft, M. et al., "Computer-Switch Telephony Applications", IEEE, Third IEEE Conference on Telecommunications, pp. 62-66, 1991.

Atkins D., et al., "Mawl: A Domain-Specific Language For Form-Based Services" IEEE Transactions On Software Engineering, IEEE Inc., New York, U.S., Vol. 25, No. 3, May 1999, Pp. 334-346, YP000908563, ISSN:0098-5589

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

DOCKET NO.: 11158ROUS02C (NORT10-00393)

SERIAL No.: 10/808,092

**PATENT** 

Respectfully submitted,

DAVIS MUNCK, P.C.

Date: <u>9/27/2084</u>

Robert D. McCutcheon Registration No. 38,717

P.O. Drawer 800889 Dallas, Texas 75380 Phone: (972) 628-3600 Fax: (972) 628-3616

Email: rmccutcheon@davismunck.com

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduc ons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Complete if Known Application Number 10/808,092 Filing Date March 24, 2004 First Named Inventor K. Scott Ramey Art Unit 2661 **Examiner Name** Not Yet Assigned

(Use as many sheets as necessary)

Sheet 1 Attorney Docket Number 11158ROUS02C (NORT10-00393)

Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (F known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	<sup>US-</sup> 5,619,555	04/08/1997	Fenton et al.	
	AB	<sup>US-</sup> 5,805,587	09/08/1998	Norris et al.	
	AC	<sup>US-</sup> 5,867,153	02/02/1999	Grandcolas et al.	
	AD	<sup>US-</sup> 5,884,262	03/16/1999	Wise et al.	
	AE	<sup>US-</sup> 5,923,736	07/13/1999	Shachar	
	AF	<sup>US-</sup> 5,923,738	07/13/1999	Cardillo, IV et al.	
	AG	<sup>US-</sup> 5,937,041	08/10/1999	Cardillo, IV et al.	
	AH	<sup>US-</sup> 5,953,392	09/14/1999	Rhie et al.	
•	Al	<sup>US-</sup> 6,049,596	04/11/2000	Dumaine et al.	
	AJ	<sup>US-</sup> 6,091,808	07/18/2000	Wood et al.	
	AK	<sup>US-</sup> 6,335,928	01/01/2002	Herrmann et al.	
	AL	<sup>US-</sup> 6,185,194	02/06/2001	Musk et al.	
	AM	<sup>US-</sup> 6,192,338	02/20/2001	Haszto et al.	
	AN	US- 6,205,582	03/20/2001	Hoarty	
	AO	US- 6,243,445	06/05/2001	Begeja et al.	
	AP	<sup>US-</sup> 6,263,051	07/17/2001	Saylor et al.	•
	AQ	<sup>US-</sup> 6,430,175	08/06/2002	Echols et al.	
	AR	<sup>US-</sup> 6,445,694	09/03/2002	Swartz	
		US-		·	

		FORE	<b>IGN PATENT DOCU</b>	JMENTS		
Examiner Cite Initials* No.1		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Т
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	AS	EP 0836295	04/15/1998	IBM Corp.		
	AT	WO 98/16051	04/16/1998	Mitel Corp.		Т
	AU	WO 98/18283	04/30/1998	Northern Telecom Ltd.		⇈
	AV	EP 0859500	08/19/1998	Lucent Tech. Inc.		
	AW	WO 99/40712	08/12/1999	British Telecom.		$\top$
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Examiner	Date	
Signature		
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/808,092	
INFORMATION DISCLOSURE			CLOSURE	Filing Date	March 24, 2004	
STATEMENT BY APPLICANT		First Named Inventor	K. Scott Ramey			
(Use as many sheets as necessary)		Art Unit	2661			
(030 as many should as necessary)			ocessary)	Examiner Name	Not Yet Assigned	
Sheet	2	of	2	Attorney Docket Number	11158ROUS02C (NORT10-00393)	

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate) title			
	ВА	Low C. et al.: "WebIN - An Architecture for Fast Deployment of In-Based Personal Services", Workshop Record, Intelligent Network, Freedom and Flexibility: Realising the Promise of Intelligent Network Services", 21 April 1996 (1996-04-21), pp. 1-12, XP002043670.			
	ВВ	Sebastiano, Trigila, Vicenzo Bonafede: "Dolmen - Service Machine Development for an Open Long-Term Mobile and Fixed Network Environment (extends TINA Architecture", Internet, 'Online!, 18 February 1999 (1999-02-18), XP002259833, retrieved from the Internet: <url: http:="" www.tinac.com="">.</url:>			
	вс	StarVox Solutions: Solutions Overview (visited12/02/99), www.starvox.com/solutions, Pp. 1-5.			
	BD	StarVox Products: Products Overview (visited12/02/99), www.starvox.com/products, Pp. 1-5.			
	BE	Low, C.: "The Internet Telephony Red Herring", HP Laboratories Technical Report, No. 96/98, 15 May 1996 (1996-05-15), pp. 1-15, XP002043669.			
	BF	Gralla, P., "How Intranets Work", Ziff-Davis Press, pp. 94-99, 1996.			
	BG	Java Telephony API,: "An Overview", version 1.1, pp. 1-41, January 28, 1997.			
	вн	Bornhoft, M. et al., "Computer-Switch Telephony Applications", IEEE, Third IEEE Conference on Telecommunications, pp. 62-66, 1991.			
	ВІ	Atkins L., et al., "Mawl: A Domain-Specific Language For Form-Based Services" IEEE Transactions On Software Engineering, IEEE Inc., New York, U.S., Vol. 25, No. 3, May 1999, Pp. 334-346, YP000908563, ISSN:0098-5589.			
		,			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**DOCKET NO.: 11158ROUS02C (NORT10-00393)** 

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K. Scott Ramey et al.

Serial No.

10/808,092

Filed

March 24, 2004

For

METHOD, APPARATUS AND ARTICLE

MANUFACTURE FOR WEB-ENABLING TELEPHONY

**PATENT** 

**DEVICES** 

Group No.

2661

Examiner

Not Yet Assigned

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

## **LETTER TO OFFICIAL DRAFTSPERSON**

Pursuant to 37 C.F.R. § 1.121(d), the Applicants propose to amend the drawings as originally filed by removing reference numeral "347" from Figure 3 and removing reference numerals "515," "525," "530," "535," and "540" from Figure 5. The proposed changes are shown in red ink on the attached sketch. No new matter is being added. Approval of the proposed drawing changes is respectfully requested.

If any issues arise, or if the Examiner has any suggestions for expediting allowance of this Application, the Applicant respectfully invites the Examiner to contact the undersigned at the telephone number indicated below or at rmccutcheon@davismunck.com.

### ATTORNEY DOCKET No.: 11158ROUS02C (NORT10-00393)

U.S. SERIAL NO.: 10/808,092

**PATENT** 

The Commissioner is hereby authorized to charge any additional fees connected with this communication or credit any overpayment to Davis Munck Deposit Account No. 50-0208.

Respectfully submitted,

DAVIS MUNCK, P.C.

Date: 9/27/2004

Robert D. McCutcheon Registration No. 38,717

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